

# Means Wealth Management – Privacy Policy Notice

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## Introduction

The following describes how we collect, share, and protect your personal information. We are bound by professional standards of confidentiality that are even more stringent than just those required by law. Federal law gives consumers the right to limit some but not all sharing of personal information.

## Types of Nonpublic Information (NPI) We Collect

We collect and share (as necessary) specific types of personal information in order to provide you with comprehensive financial planning and investment advisory services. The types of personal information that we collect and share depends on the services we provide to you. This information is either provided to us by you or obtained by us with your authorization. This can include but is not limited to your Social Security number, Date of Birth, banking information, financial account numbers and/or balances, sources of income, tax information and wire transfer information. When you are no longer our client, we will continue to share your information only as described in this notice.

## How We Collect Your Personal Information

We collect your personal information, for example, from the following sources:

- You, our client, when establishing a relationship with us and through the lifetime of your relationship with us;
- Your custodian or broker; and
- Third parties, such as your attorney, accountant, insurance agent, etc.

## Protecting Your Personal Information

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law, including computer safeguards and secured files and building.

## Parties to Whom We Disclose Information

All Investment Advisors need to share personal information to run their everyday business. We do not disclose any nonpublic personal information about you to third parties, except under the following circumstances: as authorized by you, as required or permitted by law, and for our everyday business purposes. For example, we may disclose nonpublic personal information necessary to process a transaction on your behalf, to service your investment account, to conduct wealth planning services, or to comply with legal requirements.

## Why can't I limit all sharing?

Federal law gives you the right to limit only the following:

- Sharing for affiliates' everyday business purposes information about your creditworthiness; and
- Affiliates and non-affiliates from using your information to market to you.

## Definitions

**Affiliates** – companies related by common ownership or control. They can be financial and non-financial companies; **Non-affiliates** – companies not related by common ownership or control. They can be financial and non-financial companies.

**Please call 800-696-3267 if you have any questions.**